



# Little Dukes: Whistleblowing Policy.





## **Little Dukes: Whistleblowing Policy**

### Monitoring and review...

This policy will be continuously monitored, refined and audited by the Headteacher who will also review it annually to assess how efficiently duties have been carried out over the year. This review will take place no later than one year from the date shown below, or sooner if needed due to changes in legislation, regulatory requirements or best practice guidelines.

### **Current Version: November 2023**

### Adopted: November 2023

### **Reviewed by:**

Rik McShane, Director of Little Dukes Nurseries Nazish Usman, Principal of Hopes and Dreams Montessori Nurseries Ben Murray, Marketing and Admissions Director - Little Dukes Nurseries

### Next review due: November 2024

### Please note:

This policy applies to all nursery schools within the Dukes Education Group, including:

- Hove Village Day Nurseries
- Hopes and Dreams Montessori Nurseries
- Little Dukes Day Nurseries and Preschools
- Miss Daisy's Nursery Schools
- The Kindergartens Nursery Schools
- Reflections Nursery and Forest School
- Riverside Nursery Schools

Any reference to 'Little Dukes' (LD) or 'Dukes Education Group' (DEG) applies to all the nurseries named above.

Policy adopted November 2023 - Version 1





### **Little Dukes: Whistleblowing Policy**

### Statement of intent:

At Little Dukes, we are committed to the highest possible standards, and we recognise that team members, students and volunteers are often the first to realise that there may be something wrong within the nursery environment.

We also recognise they may not express their concerns because they feel that speaking up would be disloyal to their colleagues, or they may fear harassment or victimisation. In these circumstances, it can feel safer to ignore a concern rather than report what may just be a suspicion of malpractice.

The Little Dukes nurseries under The Public Interest Disclosure Act 1998 actively encourages any team members with concerns about any aspect of the nursery's practice or about any adult's, volunteer's or student's conduct, to come forward and voice those concerns, in confidence, within the nurseries rather than overlooking a problem.

### **Policy aims:**

The aim of this policy and associated procedures is to establish an internal procedure that will encourage and enable team members, students and volunteers to raise concerns about any aspect of the nurseries practice (which do not meet the criteria for being dealt with as a complaint or grievance) in confidence and without fear of reprisals, to ensure that Little Dukes nurseries continue to work within best practice and to safeguard children and young people.

The whistleblowing Policy and procedure aims to help and protect both team members\* and children.

By following the procedure team members are acting to:

- Prevent a problem getting worse
- Safeguard children and young people
- Reduce the potential risks to others.

# The earlier team members raise a concern, the easier and sooner it is possible for the nursery to take action.

Concerns that should be raised via the whistleblowing policy may be in relation to the actions/behaviours of other team members, students or volunteers, or about something that is perceived as:

- Unlawful
- Failing to comply with the nursery's policy and procedures
- Poor practice
- Improper conduct.

Policy adopted November 2023 - Version 1





\* Throughout this policy the terms 'staff' or 'team member/s' includes paid staff, volunteers and students, apprentices, agency staff.

### Key points:

### This policy is based on the following fundamental principles:

- All team members, students and volunteers have the right to raise concerns about perceived unacceptable practice or behaviour.
- The responsibility for expressing concerns about unacceptable practice or behaviour rests with all team members, students and volunteers.
- Little Dukes will not tolerate harassment or victimisation and will take action to protect workers when they raise a concern in good faith.
- Little Dukes will do its best to protect a whistleblower's identity when they raise a concern and do not want their name to be disclosed. However, if the concern raised needs to be addressed through another procedure, e.g., disciplinary procedure, the individual may be required to provide a signed statement as part of the evidence.
- In some circumstances Little Dukes may have to disclose the identity of the team member without their consent, although this will be discussed with the team member first.
- Appropriate advice and support will be made available to team members, students and volunteers who raise concerns.
- Those who raise concerns will be kept informed of the progress and outcome of any investigation.
- Little Dukes will not tolerate malicious allegations; these may be considered as a disciplinary offence.

### **Procedures:**

Procedures for reporting and investigating 'whistleblowing' concerns have been developed to ensure that:

- Team members, students and volunteers can raise concerns (no matter how small they may appear) internally as a matter of course and receive feedback on any action taken.
- Concerns are taken seriously and dealt with quickly and appropriately.
- Team members and volunteers are reassured that they will be protected from reprisals or victimisation for whistleblowing in good faith.
  Policy adopted November 2023 - Version 1



- Team members and volunteers can take the matter further if they are dissatisfied with the nursery's response and seek external advice and guidance.
- Issues raised are addressed via other procedures and policies as appropriate, e.g., safeguarding policy, allegations against an adult working in a nursery, grievance, disciplinary, health and safety.
- Appropriate records are maintained for monitoring purposes.

### Raising a concern:

Team members, students and volunteers should raise concerns with the Headteacher. Concerns should be raised in writing and include:

- Reference to the fact that it is a whistle-blowing disclosure
- The background and history of the concerns
- Names, dates and places (where possible)
- The reasons the individual is concerned about the situation.

Team members who feel unable to put concerns in writing, can telephone or meet with either the Headteacher, Principal or Operations Director.

### Who you should contact:

### You should contact one of the following people in confidence:

| Principal, Director of Operations and | Principal & DSL at The Kindergartens                    |
|---------------------------------------|---|
|                                       | -   |
| Safeguarding Governor                 | Joanne Allen  |
|                                       | Email: Joanne.allen@thekindergartens.co.uk              |
|                                       | Tel: 07956684125  |
|                                       |   |
|                                       | Director of Operations:                                 |
|                                       | Rik McShane   |
|                                       | Telephone: 07385176213                                  |
|                                       | Email: <u>Rik.mcshane@dukeseducation.com</u>            |
|                                       |   |
|                                       | Safeguarding Governor:                                  |
|                                       | Libby Nicholas  |
|                                       | Telephone: 07791953552                                  |
|                                       | Email: Libby.nicholas@dukeseducation.com                |
|                                       |   |
| Designated Safeguarding Lead (DSL)    | DSL Mouse House SW11                                    |
| at each nursery setting:              | Name: Annabelle Dare                                    |
|                                       | Email: Annabelle.dare@thekindergartens.co.uk            |
|                                       | Tel: 0207 326 8751                                      |
|                                       |   |
|                                       | DSI Mayaa Hala Nymawy Sahaal                            |
|                                       | DSL Mouse Hole Nursery School                           |
|                                       | Name: Elaine Bruton                                     |
|                                       | Email: <u>Head.Mouseholesw11@thekindergartens.co.uk</u> |
|                                       | Tel: 0203 874 2552                                      |
|                                       |   |

Policy adopted November 2023 - Version 1

| Designated Safeguarding Lead (DSL) | DSL Mouse House SW18                                    |
|------------------------------------|---|
| at each nursery setting:           | Name: Sally Paton                                       |
|                                    | Email: Head.MousehouseSW18@thekindergartens.co.uk       |
|                                    | Tel: 0203 874 2554                                      |
|                                    | DSL The Crescent II Kindergarten                        |
|                                    | Name: Lisa Fitches                                      |
|                                    | Email: <u>Head.Crescent2@thekindergartens.co.uk</u>     |
|                                    | Tel: 0203 621 9956                                      |
|                                    | DSL The Park SW11 Kindergarten                          |
|                                    | Name: Annalu Penney                                     |
|                                    | Email: <u>Head.ParkSW11@thekindergartens.co.uk</u>      |
|                                    | Tel: 0203 621 9958                                      |
|                                    | DSL Pooh Corner SW7                                     |
|                                    | Name: Emma Cooper                                       |
|                                    | Email: <u>Head.Poohcornersw7@thekindergartens.co.uk</u> |
|                                    | Tel: 0203 874 2638                                      |
|                                    | DSL Pooh Corner W8                                      |
|                                    | Name: Nikky Beard                                       |
|                                    | Email: <u>Head.Poohcornerw8@thekindergartens.co.uk</u>  |
|                                    | Tel: 0203 621 9960                                      |

little

### Investigation:

The action taken will depend on the nature of the concern. All matters raised, with the exception of allegations of abuse against a team member, or unlawful activity, will be investigated internally. The appropriate person/s will investigate the concerns thoroughly, ensuring that a written response can be provided within ten working days.

The response should include details of how the matter was investigated, conclusions drawn from the investigation, and whom to contact should the team member be unhappy with the response and wish to raise the matter.

If the investigation cannot be completed within the timescale above, the team member should receive a response that indicates:

- Progress to date
- How the matter is being dealt with
- How long it will take to provide a final response.

Policy adopted November 2023 - Version 1



In order to protect individuals, initial enquiries (usually involving a meeting with the individual raising the concern), will be made to decide whether an investigation is appropriate and if so, what form it should take.

Concerns or allegations that raise issues falling within the scope of other policies/procedures, will be addressed under those procedures.

Some concerns may be resolved at this initial stage simply, by agreed action or an explanation regarding the concern, without the need for further investigation. If it's felt that the complaint has not been dealt with effectively or the individual still has concerns even after speaking with the Safeguarding governor, they have a right to refer the concerns to Ofsted.

### Independent advice:

If you are unsure whether to use this policy or you want independent advice at any stage, you may contact the independent charity Protect on 020 3117 2520 or <u>whistle@protect-advice.org.uk</u>.

If needed, Protect will be able to advise you on the circumstances in which you may be able to contact an outside body safely.

### Allegations of abuse against adults who work or volunteer in Little Dukes nurseries:

If an allegation is made against a team member, agency staff or volunteer, the following action will be taken:

- The nursery will ensure the immediate safety of the children.
- The nursery will not investigate but immediately contact the DSL (the Local Authority Designated Officer (LADO) should be contacted directly in case of no contact with DSL and DDSL).
- The nursery will notify Ofsted of a significant incident.
- The DSL will notify the LADO, who will decide if it could be a child protection concern.
- If the LADO decides that there is a child protection concern, external/internal agencies (e.g., police) will be informed by the LADO and the nursery will act upon the advice given to ensure that any investigation is not jeopardised.
- It may be necessary to suspend the alleged perpetrator. Suspension is a neutral act to allow a thorough and fair investigation.
- If it is agreed that there is not a child protection concern, the nursery will investigate further and report the outcome of the investigation to the DSL and Ofsted.

Policy adopted November 2023 - Version 1





Legislative Framework:

EYFS 2023

- > The Public Interest Disclosure Act 1998 (PIDA) The Care Act 2014
- > Safeguarding Vulnerable Groups Act 2006 Protection of Freedoms Bill
- **Keeping Children Safe in Education 2023**

Further reading:

Whistleblowing video: https://www.scie.org.uk/contact/concerns/whistleblowingvideo/

Whistleblowing website: https://www.hse.gov.uk/contact/whistleblowers.htm

Policy adopted November 2023 - Version 1